

# Runtime Model Checking for SLA Compliance Monitoring and QoS Prediction

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## Abstract

Sophisticated workflows, where multiple parties cooperate towards the achievement of a shared goal are today common. In a market-oriented setup, it is key that effective mechanisms be available for providing accountability within the business process. The challenge is to be able to continuously monitor the progress of the business process, ideally, anticipating contract breaches and triggering corrective actions. In this paper we propose a novel QoS prediction approach which combines runtime monitoring of the real system with probabilistic model-checking on a parametric system model. To cope with the huge amount of data generated by the monitored system, while ensuring that parameters are extracted in a timing fashion, we relied on big data analytics solutions. To validate the proposed approach, a prototype of the QoS prediction framework has been developed, and an experimental campaign has been conducted with respect to a case study in the field of Smart Grids.

**Keywords:** Big Data Analytics, QoS Prediction, Model Checking, SLA compliance monitoring

## 1 Introduction

The service-oriented computing paradigm has been changing the way of creating, developing, and delivering new services and is the foundation of the Utility Computing service provisioning model, where a service provider repackages computing resources and infrastructure management, makes them available to the customer as needed, and charges for their specific usage. Utility Computing paved the way to the as a Service (aaS) model [1], that further propagated the idea of providing computing, application, and network resources as a metered service. One of the main advantages of the aaS model is the dramatic reduction of time, effort, and cost associated with the development of new services, since these can be created by integrating and reusing existing ones, including third party applications and legacy systems. This lowering of the bar has ultimately resulted in new services of an ever increasing complexity being created at a fast pace. The orchestration of services currently being developed reflects sophisticated workflows [2, 3], where multiple parties individually providing relatively simple services cooperate towards the achievement of a common goal, i.e. building a service with more functionalities and/or better quality. Obviously enough, this goal can only be reached if all parties that are in the critical paths of the workflow deliver what they promise, both in terms of functions and of quality. Failure to do so results in substantial detriments to the composite service, and possibly to its complete failure. In a market-oriented setup, it is thus key that effective mechanisms be available for providing accountability within the business process. With respect to quality aspects, two are the fundamental tools for specifying the terms of a service, namely Service Level Agreements (SLAs)[4, 5] and Operational Level Agreements (OLAs). An SLA is an agreement between two or more parties, where one is the customer and the others are service providers. OLAs, i.e. contracts between the service provider and other third parties,

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may be used by internal groups to support SLAs. The challenge is to be able to continuously monitor the progress of the business process, and to timely spot breaches of quality contracts, i.e. situations where one or more parties fail to meet the agreed upon QoS levels [6, 7]. Ideally, one should be able to anticipate contract breaches, based on predictions that extrapolate current measurements, and trigger corrective actions, aiming at avoiding the breach altogether, or at least at mitigating its effects. In this paper we propose a novel QoS prediction approach which combines run-time monitoring of the real system with probabilistic model-checking on a system model. To achieve accurate predictions while limiting the state explosion problem (which is an intrinsic limitation of model-checking techniques), we build a probabilistic model of the business process based on an analysis of the workflow on which it relies. System parameter values are thus extracted from measurements that are collected on the field. Combined use of direct measurements and analytical modelling has proven to be an effective system analysis approach. The model checker then uses the actualized system model to estimate the probability that in the near future (i.e. in the range of a few minutes) the system reaches a status that would result in an SLA violation. Since i) the amount of data that is collected by the monitoring infrastructure that observes the real system can reach high volumes very quickly [8], and ii) parameter values must be extracted in a timely fashion (otherwise they would be useless), we rely on big data analytics solutions. To validate the proposed approach, a prototype of the QoS prediction framework has been developed, and an experimental campaign has been conducted with respect to a case study in the field of Smart Grids [9, 10].

The paper is organized as follows. Section 2 describes related work on QoS prediction. In section 3 we present the overall architecture enabling our QoS prediction approach, whereas we show the internal design of the runtime quality prediction prototype we developed to validate our approach in section 4. Section 5 illustrates the case study to which we applied the proposed methodology. Finally, section 6 closes the paper with conclusions and future work.

## 2 Related Work

QoS prediction is surveyed in [11], [12], [13], and [14]. A prediction performance model is treated in [11], where the authors exploit the Markovian Arrival Process (MAP) and a MAP/MAP/1 queuing model as a means to predict performance of servers deployed in Cloud infrastructure. Although in our Smart Grid case study we use a M/M/1 queuing model, our QoS prediction methodology does not rely on a specific model which, therefore, could be adapted as needed. A prediction-based resource measurement which use Neural Networks and Linear Regression as techniques to forecast future resource demands is proposed in [12]. A regression model is used also in [14] to produce numerical estimation of Service Level Objectives (SLOs) so as to predict SLA violations at runtime. Similarly, the PREvent framework, a system which uses a regression classifier to predict violation, is presented in [13] but details about the performance of the method are not given. In [15, 16, 17] QoS requirements are controlled by solving a QoS optimization problem at runtime. Particularly, in [15] a linear programming optimization problem is adopted to define a runtime adaptation methodology for meeting QoS requirements of service-oriented systems, whereas a multi-objective optimization problem to develop QoS adaptive service-based systems for guaranteeing pre-defined QoS attributes is proposed in [16] and [17].

A collaborative method is proposed in [18] where performance of cloud components is predicted based on usage experiences. Although this method could be appropriate for QoS indicators from the user perspective, it is impractical in the general case where QoS are business-oriented.

A QoS prediction by using a Model Checking solution is proposed in [19], and [20]. [19] proposes an approach named ATOP - i.e. from Activity diagrams TO Prism models - which from an abstract description of service compositions (activity diagram) derives a probabilistic model to feed the PRISM tool

for the evaluation phase. However, unlike our solution this is a methodology conceived for evaluating the system at design-time. Similarly to our work, [20] proposes a two-phases method involving monitoring and prediction with the aim of monitoring at run-time the reliability of compositional web services which exhibits random behaviour. Although this method also takes advantage of the probabilistic model checking technique, it mainly focuses on reliability estimation by using a DTMC-based Markovian model. In contrast, we propose a general CTMC probabilistic model for performance indicators in which both states and transitions are parametrized, resulting in a model which can be adapted at run-time.

### 3 Architectural Overview

This section describes the approach behind our solution for QoS prediction. An high level architecture overview is represented in fig. 1.

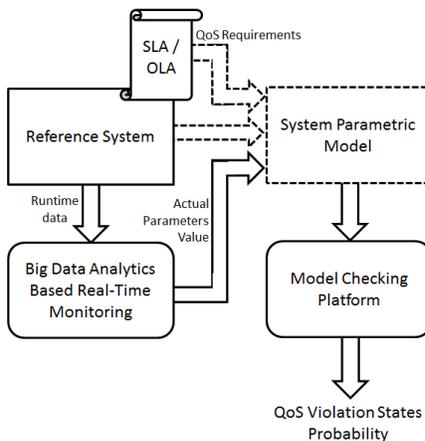


Figure 1: The QoS Monitoring and Prediction architecture

Given a system/process to be monitored for QoS compliance with a set of SLAs and OLAs, we assume that a formalized model of the system is made available. Such a model is based on a state-transition description which is able to capture the evolution of Key Performance Indicators (KPIs) over time. Moreover states and transitions must be expressed as parameters. The KPIs can be inferred by the SLAs and OLAs defining the expected QoS. It can thus be used to identify the conditions of violation of the expected QoS. Such conditions are represented by some final states in the state-transition model.

At run-time, the reference system is continuously monitored and collected data are used to evaluate the actual value of model parameters. Once the model has been populated with estimated values of the parameters, it is processed by the model checking software. In our prototype we have used PRISM [21] which is an open-source probabilistic model checker which supports the analysis and checking of a wide number of model types. The model checker explores states that can be reached since current state in a fixed number of transitions (depending on the the desired prediction time-lapse). If one of the states representing a violation is likely to be reached with a probability higher than a fixed threshold (violation alarm threshold), than a QoS breach is predicted.

It is worth noting that the usage of a parametric model, which is continuously updated, and the fixed time-lapse used for the prediction, allow to limit the well-known state explosion problem due to the exhaustive states exploration operated by model-checkers. One further optimization could be operated by pruning those branches including states reachable with a probability lower than the violation alarm threshold.

As for the parameters evaluation, continuous monitoring of a complex system may require the real-time analysis of huge amounts of data. Such requirement can be matched by using advanced Big Data Techniques and tools. In particular, in our prototype we used a Complex Event Processor (CEP) to infer parameters value from collected data. In an advance prototype the Big Data layer could be used to support the model-checking process.

To guarantee that the automatic procedure be both efficient and consistent, two conditions are to be held:

- the size of the state space of the model has to be sufficient to perform the model-checking analysis in a time that is compatible with the updating time of the QoS data of the modelled system
- the evaluation of the model parameters should always allow the representation of the critical QoS states to be monitored.

The first condition is key for obtaining a near real-time QoS prediction system. Indeed, it requests to balance the size of the QoS model at run-time by taking into account both the real time constraint imposed by the monitored service and the time spent to model check. A preliminary analysis during the model definition has to be conducted in order to ensure that this condition is still true even though the model is fully expanded (i.e. no pruning of its state space is considered). The second condition allows to verify that, if narrowed, the model still includes states of the real system related to critical QoS values (e.g. warning and/or violation states).

Consequently, our methodology considers the following steps:

1. Specification of the parameterised QoS stochastic model and QoS constraints to monitor
2. Real-time data analysis and parameters synthesis
3. Generation of the internal state-transition Model representation
4. Execution of the Probabilistic Model-Checking to quantify the likelihood of future QoS state
5. QoS Verification

In the first step we define a stochastic model which is suited to the kind of properties we are interested in monitoring. In this paper we show a case study, from the Smart-Grid domain, modelled by means of a CTMC. The steps 2-5 are involved in an endless loop which makes our approach adaptive. In particular, the second step needs to analyse data received by the CEP so to determine the parameters of the model, and computes the current KPIs value. The third step generates the finite state-transition representation of the system model on which performing model-checking in the fourth step. Finally, the fifth step deals with verifying the QoS on the basis of the current KPIs and/or quantification of future QoS states.

### 3.1 QoS Properties Specification

In a previous work we introduced the concept of Quality Constraint (QC) [22] as a mean to express constraints on KPIs. A QC is defined as a boolean condition on a single KPI. The language we used to specify QCs is an interval-based version of the Linear-time Temporal Logic (LTL). Particularly, in [22] we introduced two temporal operators *along* and *within* which present the following semantic:

- ***P along T***: *P* is true in any time instant belonging to *T*
- ***P within T***: there exists at least a time instant  $i \in T$  in which *P* is true

Thus, the **along** and **within** are, respectively, the restriction of the Linear Temporal Logic (LTL) "globally" (G) and "eventually" (F) operators to the interval  $T$ . A QC without temporal operator is interpreted as an expression to be verified all along the lifetime of the monitored system, hence resulting useful for specifying safety property.

It is worth noting that in the context of runtime monitoring we check properties against execution traces of the system, i.e ordered sequences of past (up to now) states. Although in this way we are able to recognise a violation as soon as it happens, we do not have any means to evaluate if it will happen and when in the future.

In this model-based approach we tackle this issue by defining Predictive Indicators (PIs) upon the monitored KPIs. A PI is a numerical indicator which statistically quantify the probability for a KPI to be in a certain state (i.e. a range of values) in a predetermined time instant in the future. Taking advantage of probabilistic model-checking we define such PIs as probabilistic temporal formulae (in the logic suitable for the underlying model) which can be evaluated over all possible evolution considered in the service model. Furthermore, as numerical indicators PIs can be monitored by means of specifying QCs. To this purpose we have extended our QC language with the **eval**( $\phi$ ) operator which accept temporal logic formula  $\phi$  to be evaluated by means of a model checker tool. As a predictive quality indicator, **eval**( $\phi$ ) can be monitored by specifying a Quality Constraint as we will see in the Smart Grid case study.

### 3.2 Performance Model

In this work we focus our attention on KPIs which refers to quantifiable service performances, i.e. resource utilization, number of served requests, etc. To better fit our case study, we selected a M/M/1 queuing model to represent these type of indicators. The intuition is that such indicators represent resources whose arrival usage requests are determined by a Poisson process of parameter  $\lambda$ , whereas the resource service time follows an exponential distribution of parameter  $\mu$ .

Let us assume a KPI as a variable  $k$  whose values can range in set  $V_K$  seen as:

$$k \in V_K = A_V \cup C_V \cup I_V$$

where the subsets  $A_V$ ,  $C_V$  and  $I_V$  have the following meaning:

$A_V$ : it is the set of *Admissible Values*  $k$  takes when the system is in a state which fulfills all the QCs defined on the KPI

$C_V$ : it is the set of *Critical Values*, i.e. limits/targets values, on which the system still meets the required quality but beyond which this is no longer true.

$I_V$ : it is the set of *Inadmissible Values*  $k$  takes when the system is in a state which does not fulfill at least a QCs defined on the KPI

We assume that  $V_K$  is totally ordered and its subsets are disjoint, that is:

$$\forall a, b, c : a \in A_V, b \in C_V, c \in I_V \text{ s.t. } a < b < c$$

The fig.2 illustrates our general queueing model. We consider a queue as a discrete representation of the set  $V_K$ . In particular, the  $V_K$  is partitioned into a sequence of  $N$  disjoint intervals  $I_i = [a_i, b_i]$ ,  $i \in 0, \dots, N-1$  with  $|I_i| = b_i - a_i = \frac{|V_K|}{N}$ . Moreover, for  $b_i \in I_i$ ,  $a_{i+1} \in I_{i+1}$  for all  $i \in 0, \dots, N-2$ , we have  $b_i < a_{i+1}$ . This helps to preserve the semantic distinction among the subsets  $A_V$ ,  $C_V$  and  $I_V$ . Hence, we can write  $I_i < I_j$  if  $i < j$ .

Thus, let  $t$  be the total amount of elapsed time from the beginning of KPI monitoring,  $w = t - T$  the time window, with  $T < t$ , in which we take into account the KPI variations, and  $k_{t_1}, k_{t_2}$ ,  $t_1 < t_2$  two

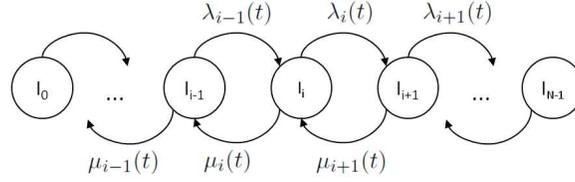


Figure 2: The general form of the adopted queueing model

sequential values of the KPI of interest belonging respectively to the interval  $I_i$  and  $I_j$  with  $i < j$ . We adapt the queueing model by interpreting:

- the queue length  $L_Q = i$  as representing the interval  $I_i$  in which  $k_{t_1}$  lies
- given all the transitions  $I_i$  to  $I_j$  with  $i < j$  (resp.  $i > j$ ) observed up to the time instant  $t$ , the increment (resp. decrement) rate  $\lambda_t$  (resp.  $\mu_t$ ) is  $\sum_{i < j} \frac{j-i}{w}$  the ratio of the sum of all increments  $j - i$  (resp. decrement  $i - j$ ) over the time window we want to consider for the rate updating.

Therefore, the queue length increases from  $L_Q = i$  to  $L_Q = i + 1$  for  $i = 0, \dots, N - 1$  with a rate  $\lambda_t$  and decreases from  $L_Q = i$  to  $L_Q = i - 1$  for  $i = 1, \dots, N$  with a rate  $\mu_t$ . An M/M/1 queue model can be described by an CTMC. In this way, by using the Continuous Stochastic Logic (CSL) as a language to formally specifying properties, we employ the probabilistic model-checking technique to conduct a quantitative analysis on the KPIs by means of their queue representation.

### 4 QoS Prediction Internals

To validate our methodology, a Runtime Quality Prediction (RQP) prototype has been developed. In this section we detail its internal design. Fig. 3 shows architectural interactions between monitoring and prediction systems, as well as interactions among their components. For the sake of space, the figure only shows the components of the monitoring system interacting with the RQP.

The RQP tool relies on the following modules: RQP-manager, Parameters Meter, Modeller, and CTMC Model Checker. In the following we describe their main activities.

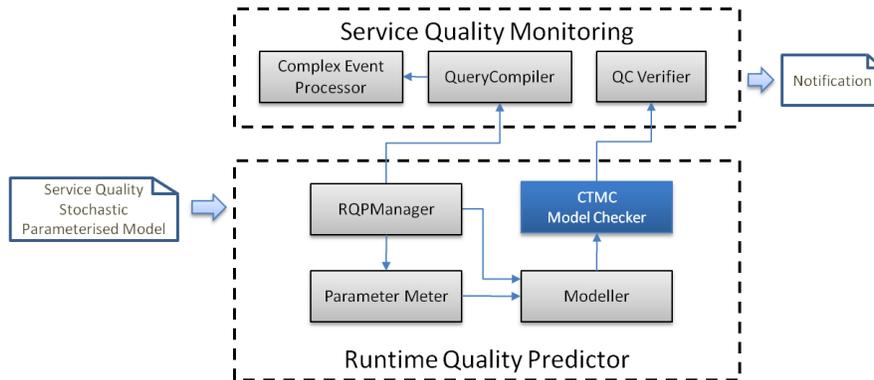


Figure 3: Monitoring-Prediction Architecture

- **RQP-Manager:** it is responsible for all the activities carried out by the predictor. It manages the pre-evaluation phase by parsing the description model, calling the QueryCompiler function

`State2Query()` to generate the query related to the states of the model, and executing a simulation run of the system in order to measure its execution time.

- **Parameters Meter:** it is in charge of computing the model parameters based on the fresh data continuously collected by the monitoring system. Such component works as a controller making it possible to tune the frequency by which it sends the updated value to the Modeller. Moreover, it also allows to define a metric based on the parameters variation so to avoid to perform model checking if, for instance, the current state is not changed and changes to the transition rate matrix are below a certain predefined threshold.
- **Modeller:** its main task is to replace the new parameters value in the abstract representation of the model, and then to generate the state space with respect to the model representation used by the underlying model checker (e.g. explicit, symbolic, hybrid).
- **CTMC Model Checker:** it performs the CTMC model checking algorithm with the updated model and the reachability properties given as input.

The tasks executed in the pre-evaluation phase are the following:

1. the description model and the reachability properties received as input are parsed. This includes analyzing syntactically both model and properties, and building an abstract internal representation in which, starting from the monitored data, the information about the state-transition model, their parameters, and the rules useful to identify the initial system states are saved.
2. the state-identification rules are translated into CEP-based queries and submitted to the CEP.
3. a simulation run is performed in order to evaluate the execution time of the run-time phase
4. if the simulation step shows satisfiable performance, then the quality constraint of the form  $t_e < T$  - defined on the execution time indicator  $t_e$  of the runtime prediction - is generated and registered into the QC Verifier.

Once the pre-evaluation phase has been executed and the CTMC-based model accepted, the prediction can be activated. Fig. 4 depicts the monitoring-prediction process executed during the run-time phase. The steps performed during this phase are the following:

1. the CEP collects and pre-processes events delivered by the monitored service. Particularly, it performs the queries which identify the current quality state of the service, and sends such information to the Parameter Meter. The current observed state is also delivered to the Modeller in that it will consider it as the actual initial state.
2. based on the inter-arrival time and on the transition occurred, the Parameter Meter updates the transition rate matrix. The parameters variation is evaluated against a predefined threshold in order to avoid the regeneration of the model in case that minimal changes occur.
3. the Modeller receives the currently observed state and the updated transition rate matrix, and updates the model parameters. Then, it regenerates the whole state space.
4. the CTMC model checking is performed against the updated model, and the reachability properties are probabilistically quantified, i.e. predictive indicators are assessed.
5. the QC Verifier receives the updated values of predictive indicators and checks them against the associated quality constraints.

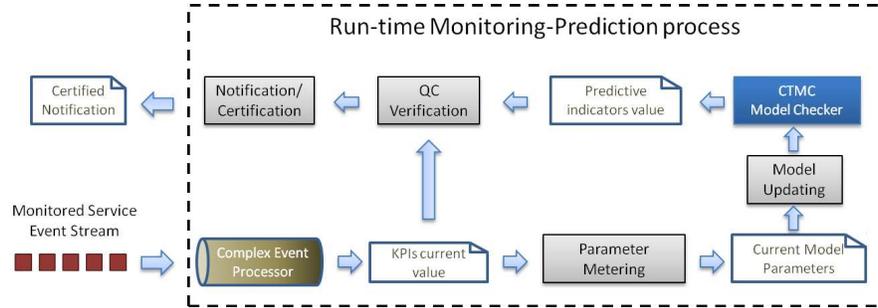


Figure 4: The Quality Monitoring and Prediction process

The RQP prototype has been developed as an autonomous application with respect to the monitoring system. In the following we give a description of its internal design.

The RQP application employs the CTMC model checking algorithm realised by the probabilistic model checker PRISM. In comparison with other model checkers which support probabilistic Markovian models such as MRMC[23], APMC[24], PAT [25], the choice of PRISM has been dictated by three important aspects that characterise this tools. Firstly, it implements various model checking algorithms according to the internal representation of the state-transition model, i.e. explicit, symbolic, hybrid, allowing to have a great flexibility with regards to model size. Furthermore, it allows to choose different iterative numerical resolutions (e.g. Jacobi, Gauss-Seidel), and to specify the desired precision by setting a specific parameter. Secondly, besides supporting a command-line interaction, it is equipped with a rich graphical user interface (GUI). Such GUI provides a complete visual environment wherein to specify the model and to perform qualitative/quantitative analysis as well as simulations. This gives an important support for designing and evaluating the system model in advance. Furthermore, PRISM is a well designed tool which provides a clear Java-based API layer by which taking advantage of all its functionalities. Finally, it is a widespread tool well documented, supported, and broadly used in many areas of science and engineering[26].

Before examining in detail the internal design of the RQP, let us give a quick overview of the PRISM model checker in order to grasp the main concepts. For more details see the References section.

#### 4.1 PRISM Model Checker

PRISM [27, 21] is an open-source probabilistic model checker which supports the analysis and checking of a wide number of model types: discrete and continuous time Markov chain (DTMC and CTMC respectively), Markov decision process (MDP), probabilistic timed automata (PTA). The models are specified in a textual modelling language based on reactive modules formalism[28]. It allows to: specify: (i) the set of modules representing different system components; (ii) the probabilistic behaviour of each module by a state-transition description; (iii) the synchronous/asynchronous composition among modules. The properties to check are expressed by using the appropriate language according to the underlying model. For the purpose of our prediction methodology, we have introduced only reachability property with bounded temporal operators expressed in PRISM by the probabilistic operator “ $\mathcal{P}$ ” and the temporal one “ $F$ ”. For example, to specify the quantitative reachability property “the probability that a state `available` is reached within 15 units time”, we write  $P=?[F<15(\text{available})]$ . The question mark induces the model checking algorithm to execute the reachability analysis with the aim of quantify the probability to satisfy the formula. On the contrary, for a qualitative reachability property we can specify the desired likelihood as in “the probability that a state `available` is reached within 15 units time is at least 0.95”, written as  $P>=0.95[F<15(\text{available})]$ .

The tool can handle very large models ( $10^{100}$  states) because it can use symbolic model checking techniques. For this purpose, it employs binary decision diagram (BDD) data structure for internally representing the state-transition model. In particular, PRISM uses a generalisation of BDDs called multi-terminal BDD (MTBDDs) [29].

Internally PRISM works as follows. First, it parses the model description and the provided properties, and builds an abstract representation of the state-transition model which is independent of the particular model checking technique selected. Then, from such abstract data structure, it is generated the specific representation - explicit, symbolic, or hybrid - against which to check the properties. Finally, after the execution of the appropriate model checking algorithm with regards to the internal representation, PRISM reports a true/false outcome for qualitative properties, which indicates whether or not the system model satisfies the given property. As for quantitative properties, PRISM returns numeric results which quantify the likelihood for the property to be satisfied (see e.g. [27]).

## 4.2 Runtime Quality Predictor prototype

Fig 5 shows the class diagram of our RQP along with the main PRISM classes which our prototype interacts with. PRISM implements about a hundred classes. Here are pictured only those strictly related to our prototype and to the purpose of this dissertation. The `Prism` class realises the high level application functionalities and links all the main classes which declare/define the major procedures such as parsing, model generation, model checking, and so forth. Two important classes are `Model` and `ModelChecker` (both defined as Interfaces) which describe the behavioural features associated with, respectively, an abstract model and model checking technique. The two concrete classes interesting for our prototype are `CTMC` and `StochModelChecker`. The former contains data and methods to handle CTMC model, whereas the latter implements the probabilistic model checking functions.

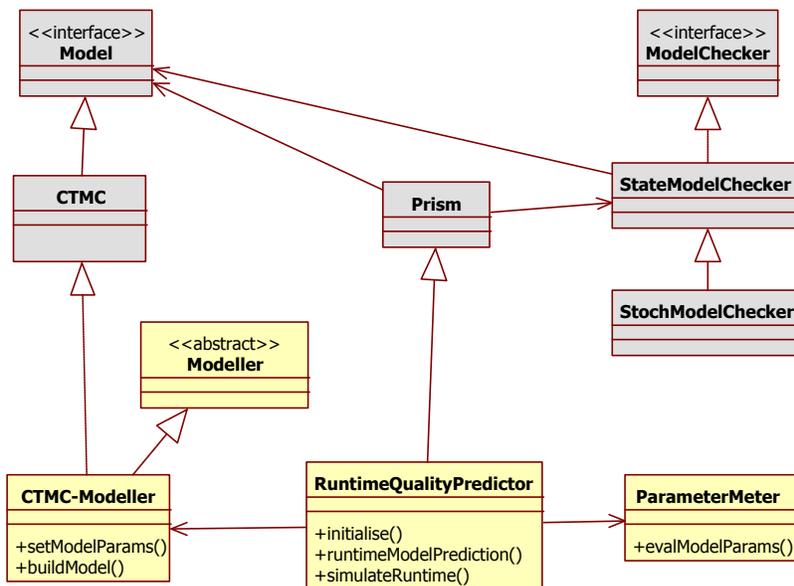


Figure 5: Runtime Quality Prediction: Class Diagram

`RuntimeQualityPredictor` is the main class in our prototype. It inherits from the `Prism` class representing and managing the whole application as it runs. In fig. 5 we report the main methods implemented in each class. In detail, the `initialise()` method deals with setting up the application as well as the underlying PRISM. `simulateRuntime()` realises the pre-evaluation phase of

our prediction methodology. The current implementation does not include a complete process simulation, i.e. the emulation of service events and CEP processing is not realised. In the actual implementation such method evaluates the execution time of the following three steps: parameter evaluation (method `evalModelParams()` of class `ParameterMeter`), model building (method `setModelParams()` of class `CTMC-Modeller`), and model checking (method `modelCheckProperties()` of PRISM class `StateModelChecker`).

The `runtimeModelPrediction()` is the principal method which implements the runtime phase of the RQP. At a very high level the algorithm is straightforward (algorithm 1).

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**Algorithm 1** Runtime Quality Prediction
 

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```

1: function RUNTIMEMODELPREDICTION
2:   /* Initialisation */
3:   ...
4:   while true do
5:     res ← RECEIVEQUERIESRESULT()
6:     currentState ← EVALCURRENTSTATE(res)
7:     [changed, pars] ← EVALMODELPARAMS(res,eps)
8:     if changed OR (currentState<>oldState) then
9:       SETMODELPARAMS(currentState,pars)
10:      BUILDMODEL()
11:    end if
12:    resProps ← MODELCHECKPROPERTIES(props)
13:    breach ← QCVERIFIER(resProps)
14:    if (breach) then
15:      /* auxiliary utilities */
16:      break
17:    end if
18:    oldState ← currentState
19:  end while
20: end function

```

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The loop (lines 4-19) iterates endlessly and is stopped if the breaking condition (lines 14-17) becomes true. In line 5 RQP receives the outputs of the queries processed by the CEP. We recall that the interaction between RQP and CEP is event-based. The thread which executes `runtimeModelPrediction()` works synchronously with respect to the CEP queries. As soon as the results of the query processing are received by the RQP, they are passed to the `ParameterMeter` object which, in turn, computes the current state and the new values of the transition rate matrix (lines 6 and 7). The parameter `eps` indicates the minimum variation to consider for regenerating the model. Internally `evalModelParams` evaluates this variation and returns a boolean result encoded by the `changed` variable. If either the current state or the transition rate matrix is changed (line 8), the model parameters are updated (`setModelParams` function at line 9) and the state space is generated (`buildModel` function at line 10). At this stage, the model checking phase can be executed on the quantitative reachability properties defined by the user (line 12). The results of this phase are sent to the QC Verifier component (line 12) of the monitoring system in order to check the predictive indicators against predefined constraints. In the current implementation the auxiliary utility (line 14) deals with saving the RQP state for debugging reason.

## 5 The Smart Grid Case Study

The proposed QoS Prediction approach has been validated with respect to a Smart Grid (SG) case study.

SG is the integration of the IT infrastructure into a traditional power grid in order to continuously exchange and process information to better control the production, consumption and distribution of electricity. For this purpose Smart Meters (SMs) devices are used to measure variations of electric parameters (e.g. voltage, power, etc.) and send such data to a computational environment which, in turn, analyse and monitor it in a real-time fashion.

In this case study, our tool performs the remote monitoring on behalf of an Energy Distributor (ED) which purchases electric power from Energy Producers (EPs) and retails it to Energy Consumers (ECs). The primary goal of the ED is to balance the purchased electric power with respect to the variations of power demand.

**The SG Model.** For the sake of simplicity we have built a basic model which represents an ED, EP (or aggregated values of many EPs) and EC (or aggregated values of many ECs) as a three-queue system networked as in fig. 6. Each queue is a discrete representation of the real-valued KPI to be modelled.

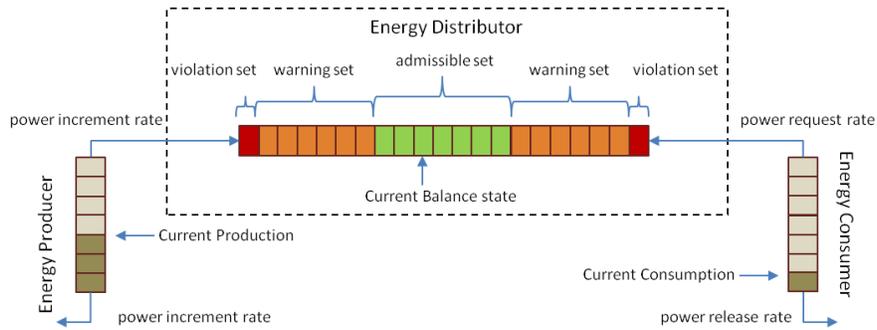


Figure 6: Network queuing system model

The PRISM-based model we define implements the queues  $ED_Q$ ,  $EP_Q$ , and  $EC_Q$  with queue length and transition rates as parameters. Furthermore, the sets  $A_V$ ,  $C_V$ ,  $I_V$  are arranged as follows:

$$\begin{aligned}
 A_V &= \{d_e : d_e \geq adm_{min} \text{ and } d_e \leq adm_{max}\} \\
 C_V &= \{d_e : -cri_{min} \leq d_e < adm_{min}\} \cup \\
 &\quad \cup \{d_e : adm_{max} < d_e \leq cri_{max}\} \\
 I_V &= \{d_e : d_e < -cri_{min} \text{ or } d_e > cri_{max}\}
 \end{aligned}$$

where  $adm_{max}$ ,  $adm_{min}$  and  $cri_{min}$  and  $cri_{max}$  represent the minimum and maximum thresholds of the admissible and critical value sets.

**Parameters Updating.** In the queuing model the queue length and the transition rate are updated as follows. Two thresholds are set on both queue edges so that if the current state goes below the first or up the second, the queue length is doubled or halved respectively. As for the updating of the transition rate, an Exponential Weighted Moving Average (EWMA) is applied on the first difference of the time series under analysis. Thus, let  $Y = y_1, y_2, \dots$  a time series, we compute the transition rate  $\rho$  as follows:

$$\rho' = \alpha(y_i - y_{i-1}) + (1 - \alpha)\rho \quad (1)$$

in which the initial value of  $\rho$  is set to 0. The 1 is used for both the increment ( $\mu_t$ ) and the decrement rate ( $\lambda_t$ ).

**QoS Data Extraction.** To tackle the Big Data issue, our architecture takes advantage of a Complex Event Processing (CEP) which could be performed on any data-intensive distributed framework (e.g. Hadoop). Such combination allows to extract, process and deliver (complex) data in real-time, empowering the QoS monitoring and prediction phases. Following our case study, we show an example of a complex event to derive the *balance indicator* (BI) from the basic SmartMeterMeasureEvent originating from the Smart Meters of EPs and ECs:

```
insert into BalanceIndicatorEvent
select (EP.measure - EC.measure) as index
from EP.SmartMeterMeasureEvent as EP,
     EC.SmartMeterMeasureEvent as EC,

select "range_i"
from BalanceIndicatorEvent
where index >= I_min and <= I_max
```

The first query compute the BI and create the event BalanceIndicatorEvent. The second query is a template used by our QoS Monitoring tool to generate the actual queries. They are used to classify at which range the index belongs to. Based on this data, the QoS Analyser component compute the transition rate from one range to another to be fed the PRISM model. On the other side, a temporal-based query is used for a real-time anomalous detection:

```
select measure, "CriticalValueMsg"
from EP1.SmartMeterEvent.win:time(15 min)
where measure < BASE_PROD
```

In this case we take advantage of the temporal-based capability of the CEP language. The select deliver a CriticalValueMsg message based on the fact that a specific energy producer (EP1 in the example) is gone underproduction. The message is delivered to the QoS Monitoring which in turn perform the associated action, e.g. notify ED.

**Quality Constraints to be Monitored.** Briefly we report only two types of QCs: the first is a safety property (neither *within* nor *along* operator specified) which assesses if the predicted violation probability in the next 15 minutes is more than 10%.

$$\text{eval}(\mathcal{P}_{\geq 0.1}[F_{\leq 30} \text{"violState"}]) = \text{false} \quad (2)$$

The second QC guarantees to be notified if the probability of incurring in a violation state in the next 30 minutes is greater than 0.05 twice in a row (considering a measurement events every 15 minutes).

$$\text{eval}(\mathcal{P}_{=?}[F_{\leq 30} \text{"violState"}]) \leq 0.05 \text{ within } 30m \quad (3)$$

It is worth noting that the **within** operator allows to express Quality Constraints on predicted values at the run-time level, which are computed by different executions of model checking.

## 5.1 Validation

In our scenario we assume a balance range of 800 Mega Watt (MW), i.e.  $[min_b = -400, max_b = 400]$ , and we firstly evaluate how much time the QoS prediction phase takes with respect to different model size (Table 1). The table also reports the size of the model in terms of number of states and transitions. As expected by using a model-ckecking technique, the time is exponential against the model size. However, as the last row shows, we can also observe that even in case of millions ( $10^6$ ) of states and transitions

| Queue length | #States | #Trans  | BM time (s) | MC time (s) | Tot. time (s) |
|--------------|---------|---------|-------------|-------------|---------------|
| 10           | 2040    | 9336    | 0.03        | 0.08        | 0.11          |
| 20           | 13280   | 63476   | 0.15        | 0.38        | 0.53          |
| 30           | 41720   | 202416  | 0.35        | 2.44        | 2.79          |
| 40           | 95360   | 466156  | 0.81        | 8.37        | 9.18          |
| 50           | 182300  | 894696  | 3.17        | 27.01       | 30.18         |
| 60           | 310240  | 1528036 | 7.75        | 52.51       | 60.27         |
| 70           | 487480  | 2406176 | 13.39       | 135.49      | 148.88        |
| 80           | 721920  | 3569116 | 20.53       | 197.11      | 217.64        |
| 90           | 1021560 | 5056856 | 27.53       | 355.29      | 382.82        |
| 100          | 1394400 | 6909396 | 42.80       | 492.28      | 535.089       |

Table 1: Queues length, model size, and execution time

- that means a fine-grained discretisation - the total time is less than 9 minutes, hence still comparable with the updating rate usually considered for SGs.

We have selected a queue length of 40 - i.e. a unit increment/decrement of the queue correspond to a 20MW of balance variation - and set these thresholds:  $adm_{min} = -200$ ,  $adm_{max} = 200$ ,  $cri_{min} = -380$ ,  $cri_{max} = 380$ . Our tests are based on property 3 evaluated by simulating three different scenarios:

**Case A:** EPs inject in the grid as much energy as ECs need (balanced case).

**Case B:** ECs request less than EPs produce (overproduction).

**Case C:** The energy consumption request rises twice compared with the production rate (imbalanced condition).

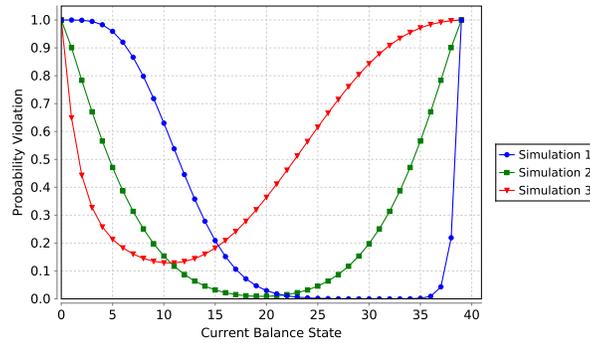


Figure 7: Violation Probability (queue length=40)

Fig. 7 plots the violation probability estimated for such scenarios. For scenario A the violation probability varies in a symmetrical fashion around the balance point (i.e. queue length 20). The scenario B exhibits a higher probability in all the overproduction states (i.e. queue length less than the balance point), and a lower one for a large number of states representing the power grid overload (i.e. queue length greater than the balance point). This characteristic is emphasised in the third simulation which represents the imbalanced (overloaded in this case) scenario. In addition, we notice how in such anomalous conditions all minimum values of violation probability are higher than the other two scenarios.

## 6 Conclusion and Future Work

To support Big Data analysis of QoS information, in this paper, we have proposed a QoS prediction framework which takes advantage of the qualitative and quantitative analysis performed by a probabilistic model-checking technique. Our approach uses a parametric QoS model and performs a probabilistic model-checking analysis in order to evaluate QoS-related predictive indicators (PIs). In this way, pre-alert QoS states can be notified in advance, giving a greater control to the Service Provider to avoid, or at least manage, possible breaches of Service Level Agreements (SLAs) contracted with Service Consumers. We have realized and presented a validating prototype - built on top of the PRISM Model Checker -, as well as experiments on a Smart Grid case study, which shows the effectiveness of our methodology and how, tuning the model parameters, the time required to model check is less than the time needed to receive updated QoS information from the monitored service. In the next future we plan to extend the experimental campaign validating our approach and to extend the usage of this framework to monitor security [30] and other non-functional aspects other than provided QoS.

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